

Electronic Acknowledgement Receipt

EFS ID:	3662204
Application Number:	10801238
International Application Number:	
Confirmation Number:	2021
Title of Invention:	Public offering risk management
First Named Inventor/Applicant Name:	David Lawrence
Customer Number:	28062
Filer:	Nathaniel Levin/Edith Martin
Filer Authorized By:	Nathaniel Levin
Attorney Docket Number:	G08.147/U
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
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Payment was successfully received in RAM	\$ 630
RAM confirmation Number	9803
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Authorized User	LEVIN,NATHANIEL

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Notice of Appeal Filed	G08147U_Notice_of_Appeal.pdf	574212	no	2
			71296d83dccc4e461b3d5a1b4f20fa36f35cd5276		

Warnings:**Information:**

2	Extension of Time	G08147U_1MO_ExtensionOfTime.pdf	261351	no	1
			daf31b4adf5a771c6df5124d6a1947e93dbec208		

Warnings:**Information:**

3	Fee Worksheet (PTO-06)	fee-info.pdf	8287	no	2
			17ddd2f1eb0b9da2233e362e7b7b1ef8749e92cd		

Warnings:**Information:**

Total Files Size (in bytes):			843850		
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.